

U.S. Customs and Border Protection Post Summary Corrections

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U.S. Customs and
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PSC Webinar
September 1, 2011
1:00–2:30 PM Eastern Time

Agenda

- Introduction
- ACE Post Summary Corrections (PSC) Overview
- ACE Post Summary Corrections Policy
- Submitted questions, responses
- Accelerated liquidation
- PSC data in the Automated Commercial Environment (ACE) reports



ACE Post Summary Corrections (PSC) Overview

- Delivered June 4, 2011
- Allows electronic corrections to ACE Consumption and Antidumping/Countervailing Duty entry summaries
- Trade Benefits
 - Electronic amendment process, via ABI, for ACE entry summaries
 - Paper Post-Entry Amendments eliminated for ACE entry summaries
 - Streamlined acceptance process
 - Uniform process for trade to submit corrections
 - Nationwide visibility for CBP
 - Creation of PSC filer
 - Creation of PSC reason codes
 - Enhanced reporting functionality



ACE Post-Summary Corrections Policy

Purpose

Enables a filer to make electronic corrections, prior to liquidation, on ACE entry summary data presented to and accepted by U. S. Customs and Border Protection (CBP)

Basic Rules

- May be filed 270 calendar days from date of entry
- Cannot be filed within 20 calendar days of the scheduled liquidation date
- Applies only to ACE entry summaries

ACE Post Summary Corrections Policy

- Full entry summary replacement
 - CBP will accept as the importer's assertion that current version is correct
 - Data subject to the same edits and validations as standard ACE entry summary
 - CBP will retain entry summary versions
- “*Agree/Disagree*” process eliminated
 - CBP will not review every PSC
 - PSC subject to same targeting, team review process as current ACE entry summaries
- No limit on number of PSCs filed within allowable time frame
- Eliminates quarterly Post Entry Amendment (PEA) requirement – Filer may send changes as they are discovered



Submitted Questions, Responses

- To date, how many PSCs have been filed?
 - As of September 1, 93 PSC have been filed by 5 individual filers.
- Can a Census warning be overridden on a PSC if there were no corrections that needed to be made?
 - The answer is yes – a Census Warning on a PSC ACE entry summary may be overridden – either within the AE submission or following the PSC AE using the CW transaction
- Does the Importer of Record (TAO) have the option to file the PSC via the ACE Portal if they are not a "self-filer" but file through a Broker on the Broker's Filer Code? We prefer providing any corrections through the PSC process ourselves through our ACE Portal. If this option is not available at this time, are there any plans for allowing this option in the future?
 - At this time there is no portal functionality which allows an importer who is not a self filer to file a PSC thru the ACE Portal on an ACE filed entry summary.

Submitted Questions, Responses

- When filing PEAS today trade needs to send corrected documents. What happens with PSC; do we still need to send the paper?
 - PSC was intended to be an electronic process, and the transmission of the PSC replaces the previous version of the entry summary. No paper is required. CBP may request additional information, if we review a PSC; however, paper documentation is not required for every PSC submission.
- If there are entries that should have been checked for Recon but was not, how do we go back and correct these?
 - Requests to retroactively flag a reconciliation entry must be made to the Headquarters reconciliation team. The PSC process may not be used to flag entries for reconciliation.



Submitted Questions, Responses

- Do the PSC corrections feed into the government ITRAC/FOIA data?
 - This is under review with the office responsible for ITRAC/FOIA data. ESAR will be working closely with the office to determine if the PSC data is captured. If captured, we will determine whether the data can be flagged to identify PSCs.
- How do we correct informal entries since it states in 9.2.7 that PSC is not permitted?
 - Informal entries liquidate within 24 hours of filing. Corrections to informal entries must be made via protest or prior disclosure.
- Section 9.2.10- Can you please give more clarification on this?
 - If an entry summary has been flagged for reconciliation, the items flagged on the entry summary may not be corrected via PSC.

Submitted Questions, Responses

- Section 9.2.17- Are PEA's still allowed? Do we have to replace PEA's with PSC's? Please explain the context for this and when this would occur.
 - As of September 22, 2011, PEAs will not be permitted on ACE entry summaries. The sole means of correction will be via PSC. This section in the document refers to the fact that if the entry summary is under team review, CBP will not accept a PEA (for ACS entry summaries) or a PSC (for ACE entry summaries).
- When doing a PSC, can you tell us what types of changes will trigger a request for a CI, for example, changes in value or HTS, etc.?
 - The trigger for the commercial invoice requirement depends on whether the PSC requires import specialist review, as opposed to the type of change made. Some HTS changes may result in a request for an invoice, while others may not.



Submitted Questions, Responses

- Is there a reference guide that explains the reason codes at the header and/or line item level in more detail? In some cases they're clear, but in other instances they are rather vague.
 - The ACE CATAIR contains a table that lists the reason codes and a basic definition. There is not a separate reference guide.
- When we used to submit a PEA, we would pay additional duties upon submission. If we file a PSC and don't request an accelerated liquidation, will either the payment or the refund be due or issued at the time of liquidation? Also, will there ever be a time where it will be payable through ACH?
 - If accelerated liquidation is not requested, the refund or bill will be generated at liquidation. Filers may present a check for PSCs resulting in a duty increase at the time the change is made; however, CBP is currently not able to accept supplemental duty payments via ACH.



Submitted Questions, Responses

- Page 19 / Item 9.2.6 - Is this section addressing if an ACE user submits a PSC where an earlier PSC is under review? ACE would automatically reject the second PSC.
 - Yes, that's correct. ACE will reject a PSC submission if there is already one on file that is under CBP review.
- Page 22 / Item 9.4.2 - The rule states that a PSC for which no accelerated liquidation request is made and which is not under review will be accepted and the entry will be manually liquidated. If the entry is liquidated, an additional PSC cannot be filed, only a protest can be filed. Does this rule mean to state that the entry will be placed back into the normal 314-day liquidation cycle? Will the 314-day period be based upon the original entry date or the date of the PSC?
 - If the filer does not request accelerated liquidation, CBP will liquidate the entry using the original 314-day liquidation date. The filing of a PSC does not extend the liquidation of the entry.

Submitted Questions, Responses

- Page 21/Item 9.3.1.5 - Why would a courtesy notice of liquidation be sent to the current PSC filer? The notice should always be sent to the importer of record regardless of who files a PSC. This concerns me because we received a suspension notice for a Type 03 entry in which the filer/broker declared our company as the importer of record, instead of the ultimate consignee. This kind of error will not be caught if CBP issues courtesy notices to filers. The importer of record has always been the owner of the entry who is responsible for the entry. Why is ACE taking that control away?
 - The mailing of paper courtesy notices to importers of record has been discontinued as of the end of September; however, CBP will continue to mail notices of extension and suspension. Liquidation information for importers of record will be available in the ACE portal. ABI filers will continue to receive the electronic courtesy notices.



Submitted Questions, Responses

- Why is CBP taking control of entries away from the importer? I do not care if a user is ACE authorized, or not. As the importer of record, our company has to have control over who files entries or PSC's on our behalf.
Why can't ACE trade account owners authorize parties? I would be glad to enter information for parties who have a power-of-attorney in ACE. This makes me terribly nervous.
 - PSC was intended to be an electronic process, and as such, CBP does not allow the importer of record to file paper PSCs. ACE does not have the functionality for a PSC to be filed in the ACE portal.
- Will the version number on the ACE entry summary change when a PSC is filed?
 - Yes, the version number will increase to the next whole number when the filer makes a revision (e.g., v.2.0). If CBP makes a revision the number to the right of the decimal will increase (e.g., v2.01).

Submitted Questions, Responses

- It was stated that a PSC with increased duty can be paid by check if we do not do accelerated liquidation, how will this process work? What paperwork would we supply to the port with the check?
 - If the filer doesn't request accelerated liquidation and wants to pay additional duties prior to liquidation, he should use the Form 7501 Test when presenting the check. Otherwise, CBP will generate a bill for the increased duties when the entry liquidates.
- Will we get a UC message for Doc's Required on a PSC? It was stated that we would get a CBPF 28 or 29 for this; we were under the impression that it is ACE so UC would be used.
 - A UC will be generated for Docs Required. A CBPF 28 or 29 can also be sent to request any additional documents that may result from team reviews.



Submitted Questions, Responses

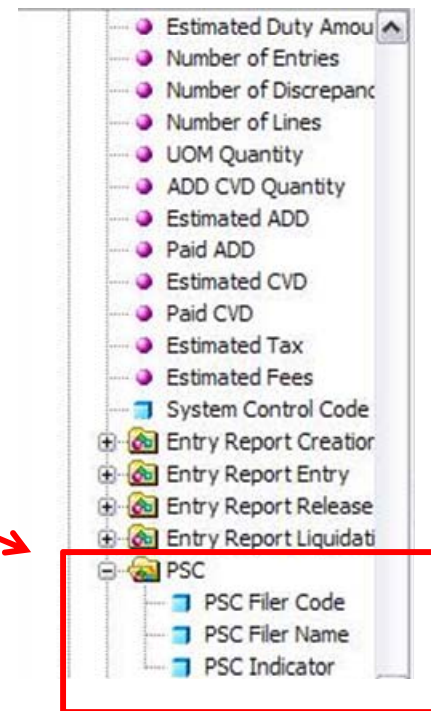
- We talked about getting a UC message when the PSC was agreed to or Disagreed to, is that in progress and being delivered for Sept 22nd?
 - With the deployment of PSC, it was decided that CBP would no longer provide a decision of “agreed to” or “disagreed to”. CBP is in the process of getting a cost estimate to provide a UC for anytime a scheduled liquidation date changes for an ACE entry summary, including PSCs. ‘Pushing’ a UC message to the trade participant each time the scheduled liquidation date changes allows the filer to be fully aware of any upcoming liquidation for any entry summary. For a conventional summary, the filer would be made aware of the time frame for which a PSC is allowed. For a PSC summary, the filer would be made aware of the time frame for which a subsequent PSC is allowed.



ACE Reports - PSC Data Elements in Account Management Reports

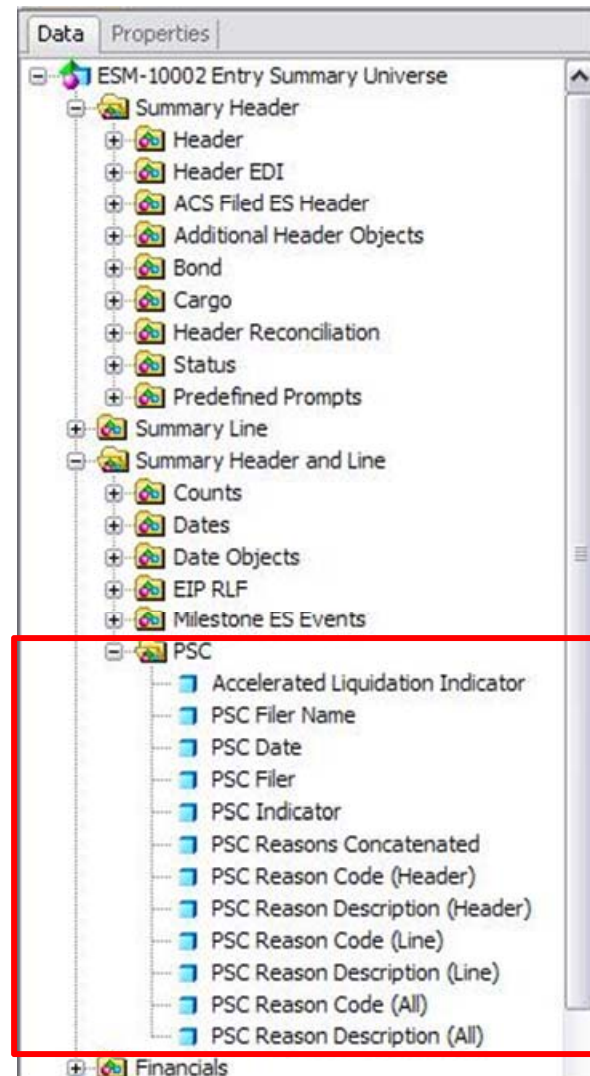
The following data elements found in the Account Management/Entry Report Folder may be added to any aggregate and detail Entry Summary report.

The AD 001, Entry Summary Extract Report, contains the PSC Indicator as a standard data element in the report.



ACE Reports - PSC Data Elements in Entry Summary Reports

The following data elements found in the Entry Summary /Summary Header and Line/PSC Folder may be added to any Entry Summary report.



ACE Reports - ESM 7068 Modified to Include PSC Data Objects

ESM-7068 Entry Summary Report

Report Parameters

Broker Codes:	--ALL--
Entry Numbers:	--ALL--
Importer Numbers:	--ALL--
Entry Date between:	09/01/2010 and 8/17/2011
Manufacturer Codes:	--ALL--
HTS Numbers:	--ALL--
Entry Type:	--ALL--
Port Code:	--ALL--
Country of Origin:	--ALL--
Country of Export:	--ALL--
Release Date between:	09/01/2010 and 8/17/2011
Liquidation Date between:	1/1/2000 and 12/31/2020
Create Date between:	1/1/2000 and 12/31/2020
Primary SPI Codes:	--ALL--
Secondary SPI Codes:	--ALL--
Include Entries With No Entry Dates:	--YES--
Include Entries With No Release Dates:	Yes
Include Entries With No Liquidation Dates:	Yes

PSC Data Elements added to report include: PSC Indicator, Accelerated Liquidation Indicator, PSC Date, PSC Filer, PSC Reason Description (Header), PSC Reason Description (Line) and PSC Reason Concatenated

Entry Number	PSC Indicator	Accelerated Liquidation Indicator	Entry Date	Create Date	PSC Date	PSC Filer	PSC Reason Description (Header)	PSC Reason Description (Line)	PSC Reasons Concatenated	Port Code	NAFTA Reconciliation Indicator	Other Reconciliation Indicator	Release Date	Liquidation Date
XXX87501510	Y	N	5/22/2011	8/11/2011	8/11/2011	XXX		Value	L04 Value	3802	N		5/22/2011	
XXX87510966	Y	N	7/8/2011	8/11/2011	8/11/2011	XXX		Value	L04 Value	3802	N		7/8/2011	
XXX94061979	Y	N	5/10/2011	7/19/2011	7/19/2011	XXX		Value		0209	N		5/10/2011	



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ACE Reports: Ad Hoc Report

This customized report includes the entry number, data from the PSC sub-folder, the liquidation status from the Summary Header/Status sub-folder and the liquidation date from the Summary Header and Lines/Dates sub-folder. *Note: This report can be saved to your Favorites folder and copied to the Shared Reports folder to be run by other users of your account.*

Entry Summary Number	Entry Type Code	Port of Entry	PSC Date	PSC Filer	PSC Indicator	Accelerated Liquidation Indicator	Liquidation Status	Liquidation Date
XXX00110995	1	1301	6/16/2011	XXX	Y	Y	Liquidated	7/5/2011
ZZQ00111324	1	1301	6/16/2011	ZZQ	Y	Y	Liquidated	7/5/2011
ZZZ00456880	1	2504	8/27/2011	ZZZ	Y	N	Not Liquidated	
ZZZ00456534	1	2504	8/22/2011	ZZZ	Y	N	Not Liquidated	
ZZZ00454695	1	2504	8/23/2011	ZZZ	Y	N	Not Liquidated	



Additional Resources

Portal Applications



The application to request your free ACE Portal account as well as instructions and tutorials can be found on CBP.gov

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_app_info/

Web Based Training for each release



https://nemo.customs.gov/ace_online

Cargo Systems Messaging Service

A subscription based, broadcast message system for users of CBP automated commercial trade system



■ www.CBP.gov/Modernization



- ACE Fact Sheets
- Training and Reference Guides
- Federal Register Notices
- Presentations of Capabilities



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Questions?



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